

## ARITHMETIC PROPERTIES OF 3-REGULAR PARTITIONS IN THREE COLORS

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### Abstract

In 2019, Gireesh and Naika proved an infinite family of congruences modulo powers of 3 for the function  $p_{\{3,3\}}(n)$ , the number of 3-regular partitions in three colors. In this paper, using elementary generating function manipulations and classical techniques, we significantly extend the list of proven arithmetic properties satisfied by  $p_{\{3,3\}}(n)$ .

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### 1. Introduction

A partition of a positive integer  $n$  is a non-increasing sequence of positive integers, called parts, whose sum equals  $n$ . For  $\ell$  a positive integer, a partition of  $n$  is called  $\ell$ -regular if there is no part divisible by  $\ell$ . The generating function for the number of  $\ell$ -regular partitions of  $n$ , denoted by  $b_\ell(n)$ , is given by

$$\sum_{n=0}^{\infty} b_\ell(n)q^n = \frac{(q^\ell; q^\ell)_\infty}{(q; q)_\infty},$$

where we use the standard  $q$ -series notation (for  $|q| < 1$ ):

$$(a; q)_\infty = \prod_{k=0}^{\infty} (1 - aq^k).$$

Arithmetic properties of  $\ell$ -regular partition functions have been studied by many authors, including [3, 5–7, 11–14].

In 2018, Hirschhorn [10] studied the number of partitions of  $n$  in three colors,  $p_3(n)$ , given by

$$\sum_{n=0}^{\infty} p_3(n)q^n = \frac{1}{(q; q)_\infty^3}.$$

He derived a number of congruences for  $p_3(n)$  modulo high powers of 3.

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Soon after, Gireesh and Naika [8] studied  $p_{\{3,3\}}(n)$ , the number of 3-regular partitions in three colors, whose generating function is given by

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(n)q^n = \frac{(q^3; q^3)_{\infty}^3}{(q; q)_{\infty}^3}. \quad (1.1)$$

They deduced some congruences modulo powers of 3 for  $p_{\{3,3\}}(n)$ , including the following: For all  $\alpha \geq 0$  and  $n \geq 0$ ,

$$p_{\{3,3\}}\left(3^{2\alpha+1}n + \frac{3^{2\alpha+2} - 1}{4}\right) \equiv 0 \pmod{3^{2\alpha+2}}.$$

In this paper, our goal is to significantly extend the list of proven arithmetic properties satisfied by  $p_{\{3,3\}}(n)$  using elementary generating function manipulations and well-known  $q$ -series identities. In particular, we provide a parity characterization for  $p_{\{3,3\}}(2n)$  as well as the following characterization mod 3 for  $p_{\{3,3\}}(n)$ : For all  $n \geq 0$ ,

$$\begin{aligned} p_{\{3,3\}}(3n+1) &\equiv 0 \pmod{3}, \\ p_{\{3,3\}}(3n+2) &\equiv 0 \pmod{3}, \\ p_{\{3,3\}}(3n) &\equiv \begin{cases} (-1)^{k+l} \pmod{3}, & \text{if } n = k(3k-1)/2 + l(3l-1)/2, \\ 0 \pmod{3}, & \text{otherwise.} \end{cases} \end{aligned}$$

## 2. Parity characterization for $p_{\{3,3\}}(2n)$

This section is devoted to proving a characterization modulo 2 for  $p_{\{3,3\}}(2n)$  as well as some consequences. In order to do so, we need a number of identities.

Throughout this paper, we define

$$f_k := (q^k; q^k)_{\infty}$$

in order to shorten the notation. Thus, (1.1) becomes

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(n)q^n = \frac{f_3^3}{f_1^3}. \quad (2.1)$$

**LEMMA 2.1.** *The following 2-dissection identities hold:*

$$\frac{f_3}{f_1} = \frac{f_4 f_6 f_{16} f_{24}^2}{f_2^2 f_8 f_{12} f_{48}} + q \frac{f_6 f_8^2 f_{48}}{f_2^2 f_{16} f_{24}}, \quad (2.2)$$

$$\frac{f_3^2}{f_1^2} = \frac{f_4^4 f_6 f_{12}^2}{f_2^5 f_8 f_{24}} + 2q \frac{f_4 f_6^2 f_8 f_{24}}{f_2^4 f_{12}}. \quad (2.3)$$

**PROOF.** Identities (2.2) and (2.3) are equations (30.10.3) and (30.9.9) of [9], respectively.  $\square$

We also recall Jacobi's identity (see [2, Theorem 1.3.9]):

$$f_1^3 = \sum_{n=0}^{\infty} (-1)^n (2n+1) q^{n(n+1)/2}. \quad (2.4)$$

Substituting (2.2) and (2.3) into (2.1), we can extract the terms involving  $q^2$  to obtain

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(2n) q^{2n} \equiv \frac{f_4 f_6 f_{16} f_{24}^2}{f_2^2 f_8 f_{12} f_{48}} \cdot \frac{f_4^4 f_6 f_{12}^2}{f_2^5 f_8 f_{24}} \equiv \frac{f_4^5}{f_2^7} \equiv f_2^3 \pmod{2}.$$

Therefore, thanks to (2.4),

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(2n) q^n \equiv f_1^3 \equiv \sum_{k=0}^{\infty} q^{k(k+1)/2} \pmod{2}.$$

Thus, we know

**THEOREM 2.2.** *For all  $n \geq 1$ ,*

$$p_{\{3,3\}}(2n) = \begin{cases} 1, & \text{if } n = k(k+1)/2 \text{ for some } k \in \mathbb{Z}; \\ 0, & \text{otherwise.} \end{cases}$$

We close this section with two consequences of the theorem above.

**COROLLARY 2.3.** *Let  $p \geq 5$  be a prime and  $1 \leq r \leq p-1$  be an integer such that  $8r+1$  is a quadratic nonresidue modulo  $p$ . Then, for all  $n \geq 0$ ,*

$$p_{\{3,3\}}(2(pn+r)) \equiv 0 \pmod{2}.$$

**PROOF.** We need to know whether  $pn+r = k(k+1)/2$ , for some  $k \in \mathbb{Z}$ , which is equivalent to  $8(pn+r)+1 = (2k+1)^2$ . This implies that  $8r+1$  is a quadratic residue modulo  $p$ , which contradicts the fact that  $8r+1$  is a quadratic nonresidue modulo  $p$ .  $\square$

**COROLLARY 2.4.** *For all  $n \geq 0$ ,  $p_{\{3,3\}}(2(3n+2)) \equiv 0 \pmod{2}$ .*

**PROOF.** If  $8(3n+2)+1 = (2k+1)^2$ , for some  $k \in \mathbb{Z}$ , then  $24n+17 = (2k+1)^2$ , which would imply that  $(2k+1)^2 \equiv 5 \pmod{12}$ . However, no square can be congruent to 5 (mod 12).  $\square$

### 3. Congruences modulo powers of 3

With the goal of extending the work of Gireesh and Naika [8] in a slightly different direction, we begin this section by providing a complete characterization for  $p_{\{3,3\}}(n)$  modulo 3.

**THEOREM 3.1.** *For all  $n \geq 0$ ,*

$$\begin{aligned} p_{\{3,3\}}(3n+1) &\equiv 0 \pmod{3}, \\ p_{\{3,3\}}(3n+2) &\equiv 0 \pmod{3}, \\ p_{\{3,3\}}(3n) &\equiv \begin{cases} (-1)^{k+l} \pmod{3}, & \text{if } n = k(3k-1)/2 + l(3l-1)/2, \\ 0 \pmod{3}, & \text{otherwise.} \end{cases} \end{aligned}$$

**PROOF.** From (2.1) we have

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(n)q^n \equiv f_3^2 \pmod{3}. \quad (3.1)$$

Thus, the coefficients of the terms of the forms  $q^{3n+1}$  and  $q^{3n+2}$  on both sides of (3.1) are congruent to 0 modulo 3. This proves the first two congruences above.

Extracting the terms of the form  $q^{3n}$  from (3.1), we obtain

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(3n)q^{3n} \equiv f_3^2 \pmod{3}.$$

Replacing  $q^3$  by  $q$ , it follows that

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(3n)q^n \equiv f_1^2 = \sum_{k,l=-\infty}^{\infty} (-1)^{k+l} q^{k(3k-1)/2 + l(3l-1)/2} \pmod{3}, \quad (3.2)$$

thanks to Euler's identity [9, Eq. (1.6.1)]

$$f_1 = \sum_{n=-\infty}^{\infty} (-1)^n q^{n(3n-1)/2}. \quad (3.3)$$

Comparing the coefficients of  $q^n$  on both sides of (3.2) completes the proof.  $\square$

The proof of the next theorem requires the following lemma, which can easily be proved by the binomial theorem.

**LEMMA 3.2.** *Given a prime  $p$ , we have*

$$f_1^{p^2} \equiv f_p^p \pmod{p^2}.$$

The next theorem presents an infinite family of congruences modulo 9.

**THEOREM 3.3.** *Let  $p$  be a prime such that  $p \equiv 3 \pmod{4}$ . Then, for all  $k, m \geq 0$  with  $p \nmid m$ , we have*

$$p_{\{3,3\}}\left(p^{2k+1}m + \frac{p^{2k+2} - 1}{4}\right) \equiv 0 \pmod{9}.$$

**PROOF.** From (2.1), (2.4), and Lemma 3.2 we see that

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(n)q^n \equiv f_1^6 = (f_1^3)^2 = \sum_{k,l=0}^{\infty} (-1)^{k+l} (2k+1)(2l+1)q^{k(k+1)/2+l(l+1)/2} \pmod{9}.$$

Thus  $p_{\{3,3\}}(n) \equiv 0 \pmod{9}$  if  $8n+2$  is not a sum of two squares. However we have  $n = p^{2k+1}m + \frac{p^{2k+2}-1}{4}$ , which yields

$$8n+2 = 8p^{2k+1}m + 2p^{2k+2} = 2p^{2k+1}(4m+p).$$

We recall that a positive integer  $N$  is the sum of two squares if and only if each prime factor congruent to 3 modulo 4 has an even power in the prime factorization of  $N$ . Thus, since  $p \equiv 3 \pmod{4}$ , it follows that  $8n+2$  is not a sum of two squares, which completes the proof.  $\square$

For example, the following congruences are special cases of Theorem 3.3:

$$p_{\{3,3\}}(9n+3r+2) \equiv 0 \pmod{9}, \text{ for } r \in \{1, 2\},$$

$$p_{\{3,3\}}(49n+7r+12) \equiv 0 \pmod{9}, \text{ for } r \in \{1, 2, \dots, 6\},$$

$$p_{\{3,3\}}(121n+11r+30) \equiv 0 \pmod{9}, \text{ for } r \in \{1, 2, \dots, 10\}.$$

The rest of this section is devoted to proving an infinite family of congruences modulo 81 for  $p_{\{3,3\}}(n)$ . We begin by recalling Ramanujan's theta functions

$$f(a, b) := \sum_{n=-\infty}^{\infty} a^{\frac{n(n+1)}{2}} b^{\frac{n(n-1)}{2}}, \text{ for } |ab| < 1,$$

$$\phi(q) := f(q, q) = \sum_{n=-\infty}^{\infty} q^{n^2} = \frac{f_2^5}{f_1^2 f_4^2}, \text{ and} \tag{3.4}$$

$$\psi(q) := f(q, q^3) = \sum_{n=0}^{\infty} q^{n(n+1)/2} = \frac{f_2^2}{f_1}. \tag{3.5}$$

We also recall Identity (14) of [4]:

$$\frac{1}{f_1^3} = \frac{f_9^3}{f_3^{12}} \left( P(q^3)^2 + 3qf_9^3 P(q^3) + 9q^2 f_9^6 \right), \tag{3.6}$$

where

$$P(q) = f_1 \left( \frac{\varphi(-q^3)^3}{\varphi(-q)} + 4q \frac{\psi(q^3)^3}{\psi(q)} \right).$$

**THEOREM 3.4.** *Let  $p$  be a prime such that  $p \equiv 3 \pmod{4}$ . Then, for all  $k, m \geq 0$  with  $p \nmid m$ , we have*

$$p_{\{3,3\}} \left( 9p^{2k+1}m + 9 \frac{(p^{2k+2}-1)}{4} + 2 \right) \equiv 0 \pmod{81}.$$

**PROOF.** Thanks to (3.6) we can extract the terms involving  $q^{3n+2}$  from (2.1), which yields

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(3n+2)q^{3n+2} = 9q^2 \frac{f_9}{f_3}.$$

After dividing both sides of the identity above by  $q^2$ , replacing  $q^3$  by  $q$ , and using Lemma 3.2, we are left with

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(3n+2)q^n \equiv 9f_3^6 \pmod{81}. \quad (3.7)$$

It follows that

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(9n+2)q^n \equiv 9(f_1^3)^2 \pmod{81}.$$

By (2.4), we see that

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(9n+2)q^n \equiv 9 \sum_{k,l=0}^{\infty} (-1)^{k+l} (2k+1)(2l+1)q^{k(k+1)/2+l(l+1)/2} \pmod{81}.$$

Note that  $n = k(k+1)/2 + l(l+1)/2$  is equivalent to  $8n+2 = (2k+1)^2 + (2l+1)^2$ . Thus  $p_{\{3,3\}}(9n+2) \equiv 0 \pmod{81}$  if  $8n+2$  is not a sum of two squares. However we have  $n = p^{2k+1}m + \frac{p^{2k+2}-1}{4}$ , which yields

$$8n+2 = 8p^{2k+1}m + 2p^{2k+2} = 2p^{2k+1}(4m+p).$$

Therefore,  $8n+2$  is not a sum of two squares, which completes the proof.  $\square$

For example, the following congruences are special cases of Theorem 3.4:

$$\begin{aligned} p_{\{3,3\}}(729n+243r+182) &\equiv 0 \pmod{81}, \text{ for } r \in \{1, 2\}, \\ p_{\{3,3\}}(441n+63r+110) &\equiv 0 \pmod{81}, \text{ for } r \in \{1, 2, \dots, 6\}, \\ p_{\{3,3\}}(1089n+99r+272) &\equiv 0 \pmod{81}, \text{ for } r \in \{1, 2, \dots, 10\}. \end{aligned}$$

**COROLLARY 3.5.** For all  $n \geq 0$ ,  $p_{\{3,3\}}(9n+5) \equiv p_{\{3,3\}}(9n+8) \equiv 0 \pmod{81}$ .

**PROOF.** These congruences follow from (3.7) after extracting the terms involving  $q^{3n+1}$  and  $q^{3n+2}$ .  $\square$

#### 4. Congruences modulo 4

In order to prove the main result of this section, we need the following identity.

**LEMMA 4.1.**

$$\frac{1}{f_1^2} = \frac{f_8^5}{f_2^5 f_{16}^2} + 2q \frac{f_4^2 f_{16}^2}{f_2^5 f_8} \quad (4.1)$$

**PROOF.** By Entry 25 (i), (ii), (v), and (vi) in [1, p. 40], we have

$$\phi(q) = \phi(q^4) + 2q\psi(q^8), \quad (4.2)$$

$$\phi(q)^2 = \phi(q^2)^2 + 4q\psi(q^4)^2. \quad (4.3)$$

Using (3.4) and (3.5) we can rewrite (4.2) in the form

$$\frac{f_2^5}{f_1^2 f_4^2} = \frac{f_8^5}{f_4^2 f_{16}^2} + 2q \frac{f_{16}^2}{f_8},$$

from which we obtain (4.1) after multiplying both sides by  $\frac{f_4^2}{f_2^5}$ .  $\square$

We now prove a small set of congruences modulo 4 which are satisfied by  $p_{\{3,3\}}(n)$  for specific arithmetic progressions.

**THEOREM 4.2.** For all  $n \geq 0$  and  $t \in \{16, 46, 76, 136\}$ , we have

$$p_{\{3,3\}}(150n + t) \equiv 0 \pmod{4}.$$

**PROOF.** Thanks to (3.6) we can extract the terms involving  $q^{3n+1}$  from (2.1), which yields

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(3n+1)q^{3n+1} \equiv 3q \frac{f_9^6}{f_3^8} \frac{\phi(-q^9)^3}{\phi(-q^3)} \pmod{4}.$$

After dividing both sides of the congruence above by  $q$ , replacing  $q^3$  by  $q$ , and using the elementary facts  $f_k^4 \equiv f_{2k}^2 \pmod{4}$  and  $2f_k^2 \equiv 2f_{2k} \pmod{4}$ , we are left with

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(3n+1)q^n \equiv 3 \frac{f_3^6}{f_1^8} \frac{\phi(-q^3)^3}{\phi(-q)} \equiv 3 \frac{f_2 f_3^{12}}{f_1^{10} f_6^3} \equiv 3 \frac{f_2 f_6^3}{f_1^{10}} \equiv 3 \frac{f_6^3}{f_1^2 f_2^3} \pmod{4}.$$

Now we use (4.1) to extract the odd part on both sides of the last congruence:

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(6n+4)q^{2n+1} \equiv 6q \frac{f_4^2 f_6^3 f_{16}^2}{f_2^8 f_8} \pmod{4}.$$

Dividing by  $q$  and replacing  $q^2$  by  $q$  yields

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(6n+4)q^n \equiv 2 \frac{f_2^2 f_3^3 f_8^2}{f_1^8 f_4} \pmod{4}.$$

Thus, after some simplification, we obtain

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(6n+4)q^n \equiv 2f_3^3 f_8 \pmod{4}.$$

Thanks to (2.4) and (3.3), we finally obtain

$$\begin{aligned} \sum_{n=0}^{\infty} p_{\{3,3\}}(6n+4)q^n &\equiv 2 \sum_{k=0}^{\infty} \sum_{l=-\infty}^{\infty} (-1)^{k+l} (2k+1) q^{3k(k+1)/2+4l(3l-1)} \\ &\equiv 2 \sum_{k=0}^{\infty} \sum_{l=-\infty}^{\infty} q^{3k(k+1)/2+4l(3l-1)} \pmod{4}. \end{aligned}$$

Now we note that the possible residues of  $3k(k+1)/2$  modulo 25 are 0, 3, 5, 8, 9, 10, 13, 15, 18, 20, and 23, whereas the possible residues of  $4l(3l-1)$  modulo 25 are 0, 1, 5, 6, 8, 10, 11, 15, 16, 20, and 21. Thus, a number of the form  $3k(k+1)/2+4l(3l-1)$  is not congruent to 2, 7, 12 or 22 (mod 25). Therefore, the coefficients of the terms  $q^{25n+t}$ , where  $t \in \{2, 7, 12, 22\}$ , are congruent to 0 modulo 4, which completes the proof.  $\square$

### 5. Concluding remarks

As noted in Section 3, the following two congruences are direct consequences of Theorem 3.3:

$$p_{\{3,3\}}(9n+3r+2) \equiv 0 \pmod{9}, \text{ for } r \in \{1, 2\}.$$

In light of (3.6), a more general congruence holds, namely  $p_{\{3,3\}}(3n+2) \equiv 0 \pmod{9}$ . This congruence can be directly derived from (1.12) in [8]. Nevertheless we note that thanks to (3.6) we can rewrite (2.1) as

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(n)q^n = \frac{f_9^3}{f_3^9} \left( P(q^3)^2 + 3qf_9^3P(q^3) + 9q^2f_9^6 \right).$$

Extracting the terms involving  $q^{3n+2}$ , dividing the resulting identity by  $q^2$  and replacing  $q^3$  by  $q$ , we are left with

$$\sum_{n=0}^{\infty} p_{\{3,3\}}(3n+2)q^n = 9 \frac{f_3^9}{f_1^9},$$

which yields  $p_{\{3,3\}}(3n+2) \equiv 0 \pmod{9}$ .

We close this work by noting that  $p_{\{3,3\}}(n)$  appears to satisfy a number of Ramanujan-like congruences modulo 5. In particular, we note the following:

**CONJECTURE 5.1.** *For all  $n \geq 0$ ,*

$$\begin{aligned} p_{\{3,3\}}(15n+6) &\equiv 0 \pmod{5}, \\ p_{\{3,3\}}(25n+6) &\equiv 0 \pmod{5}, \\ p_{\{3,3\}}(25n+16) &\equiv 0 \pmod{5}, \\ p_{\{3,3\}}(25n+21) &\equiv 0 \pmod{5}. \end{aligned}$$



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