

Groups of bats improve sonar efficiency through mutual suppression of pulse emissions.

Jenna Jarvis, William Jackson and Michael Smotherman

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1 **Groups of bats improve sonar efficiency through mutual suppression of pulse emissions.**

2

3 Jenna Jarvis, William Jackson, and Michael Smotherman*

4 Department of Biology, Texas A&M University, College Station, TX 77843-3258

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*Corresponding Author Address: Department of Biology, Texas A&M University, College
Station, TX, USA 77845-3258.

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9 Ethernet.

10

11 **Abstract**

12 How bats adapt their sonar behavior to accommodate the noisiness of a crowded day roost is a
13 mystery. Some bats change their pulse acoustics to enhance the distinction between theirs and
14 another bat's echoes, but additional mechanisms are needed to explain the bat sonar system's
15 exceptional resilience to jamming by conspecifics. Variable pulse repetition rate strategies offer
16 one potential solution to this dynamic problem, but precisely how changes in pulse rate could
17 improve sonar performance in social settings is unclear. Here we show that bats decrease their
18 emission rates as population density increases, following a pattern that reflects a cumulative
19 mutual suppression of each other's pulse emissions. Playback of artificially-generated
20 echolocation pulses similarly slowed emission rates, demonstrating that suppression was
21 mediated by hearing the pulses of other bats. Slower emission rates did not support an antiphonal
22 emission strategy but did reduce the relative proportion of emitted pulses that overlapped with
23 another bat's emissions, reducing the relative rate of mutual interference. The prevalence of
24 acoustic interferences occurring amongst bats was empirically determined to be a linear function
25 of population density and mean emission rates. Consequently as group size increased, small
26 reductions in emission rates spread across the group partially mitigated the increase in
27 interference rate. Drawing on lessons learned from communications networking theory we show
28 how modest decreases in pulse emission rates can significantly increase the net information
29 throughput of the shared acoustic space, thereby improving sonar efficiency for all individuals in
30 a group. We propose that an automated acoustic suppression of pulse emissions triggered by bats
31 hearing each other's emissions dynamically optimizes sonar efficiency for the entire group.

32

33

34 **INTRODUCTION**

35 Environmental noise degrades the transmission of all animal communication sounds (Ryan and
36 Brenowitz, 1985; Ryan, 1986; Brumm and Slabbekoom, 2005; Jones, 2008), but echolocation by bats is
37 particularly sensitive because bats need to clearly hear their own faint echoes to hunt and navigate
38 (Neuweiler, 2000; Schnitzler and Kalko, 2001). For bats the most significant source of degrading acoustic
39 interference is the echolocation pulses of other bats, and researchers have long puzzled over how
40 echolocating bats avoid interfering with one other's sonar while flying in dense swarms or within noisy
41 crowded day roosts (Griffin, 1958). In order to echolocate efficiently bats maintain precise control over
42 the acoustic and temporal properties of their echolocation pulses (Neuweiler, 2000; Schnitzler and Kalko,
43 2001; Schnitzler et al., 2003; Smotherman, 2007), and in some cases this includes adaptations for
44 echolocating in the presence of other bats. Some bats display a jamming avoidance behavior in which
45 they change their outgoing call pitch in order to minimize overlap in bandwidth (Ratcliffe et al.,
46 2004; Ulanovsky et al., 2004; Gillam et al., 2007; Bates et al., 2008; Tressler and Smotherman,
47 2009; Necknig and Zahn, 2011), and some increase pulse amplitude in the presence of background noise
48 (Simmons et al., 1978; Tressler and Smotherman, 2009; Tressler et al., 2011). These relatively minor
49 changes in pulse acoustics have so far only been documented in pairs of bats and are considered unlikely
50 to be effective for much larger groups of bats because their vocal parameters are tightly constrained by
51 highly specialized laryngeal and respiratory mechanics (Metzner and Schuller, 2007), a finely tuned
52 auditory system (Popper and Fay, 1995), and would force bats to alter pulse characteristics away from
53 optimal parameters for foraging and navigation (Schnitzler and Kalko, 2001). In light of these limitations
54 other more comprehensive answers are needed to explain how bats echolocate in groups.

55 An alternative to jamming avoidance behavior is for bats to modulate the timing of their pulse
56 emissions to minimize temporal overlap with another bat's echolocation pulses. Many animals acutely
57 regulate the timing of their vocalizations to minimize acoustic interference, including frogs (Loftus-Hills,
58 1974; Zelick and Narins, 1985; Moore et al., 1989), birds (Ficken and Ficken, 1974; Knapton,

59 1987;Brumm, 2006;Planque and Slabbekoorn, 2008), and primates (Egnor et al., 2007). Although
60 echolocation serves a different function than these other forms of vocal communication it is possible that
61 bats echolocating in small groups utilize some sort of antiphonal emission strategy to promote emitting
62 pulses out of phase with one another as a means for minimizing temporal overlap with conspecifics, and
63 there is evidence from the field that bats modify emission timing in the presence of other bats (Obrist,
64 1995). We recently investigated whether solitary free-tailed bats shifted the timing of their pulse
65 emissions in response to artificial acoustic stimuli mimicking the emissions of nearby conspecifics (Jarvis
66 et al., 2010). Bats were found to postpone pulse emissions by roughly 80 ms every time they heard an
67 artificial pulse. We hypothesized that under natural conditions this behavior could promote antiphonal
68 emissions and might also lead to slower pulse emissions in social settings. The potential benefits of
69 antiphonal calling are straightforward, but how this might be managed for even modest sized groups of
70 five to ten bats is difficult to imagine. Furthermore, if the acoustic suppression of pulse emissions did
71 result in slower pulse emissions for the entire group it was unclear how this could be managed without
72 significantly degrading sonar performance. Here we directly test whether bats emit pulses more slowly in
73 groups than when alone, and if so whether this behavior supports an antiphonal calling strategy that helps
74 bats avoid interfering with one another.

75 Free-tailed bats are often found hunting insects alone or in small groups of two or three
76 individuals at a particular foraging site, but they also migrate together in dense swarms of tens to
77 thousands of bats and establish day roosts housing hundreds to millions of individuals. In these large
78 densely populated roosts and particularly during emergence from the caves (Gillam et al., 2010) it seems
79 unlikely that any combination of changes in the acoustics or timing could effectively mitigate the
80 interfering effects of the surrounding din. How exactly do free-tailed bats respond to the background
81 noise generated by many continuously echolocating neighboring bats? We predicted that in high
82 population densities free-tailed bats would abandon any attempts to coordinate their temporal emission
83 patterns in favor of emitting pulses more frequently to compensate for information lost due to mutual

84 interference. This was tested using artificial acoustic stimuli simulating the acoustic impacts of
85 progressively larger group sizes.

86 The results described here indicate that pairs and small groups of three to ten bats do indeed
87 suppress each other's emissions, but not in support of an antiphonal emission strategy. Instead we find
88 that free-tailed bats appear to adjust pulse emission rates to maximize pulse efficiency, which requires
89 balancing the need to extract more information from the environment by emitting more pulses while
90 minimizing the relative proportion of those pulses producing ambiguous echoes. Drawing upon lessons
91 learned from the study of how information flows through communications networks (Shannon,
92 1948;Abramson, 1970;Tanenbaum, 2003) we will show how a population density-dependent suppression
93 of pulse emission rates can theoretically improve sonar efficiency in noisy crowded social conditions by
94 improving information throughput of the shared acoustic space. However, when population density grows
95 to the point where the likelihood of an overlap occurring becomes greater than the likelihood of producing
96 an unambiguous echo, the bats switch to emitting pulses at higher rates than when alone. This second
97 strategy may increase the probability of sporadically producing unambiguous echoes or may exploit
98 auditory integration mechanisms that build the auditory scene from bits and pieces of many incomplete or
99 distorted echoes (Moss and Surlykke, 2001;Moss et al., 2006). Free-tailed bats thus adapt their sonar
100 pulse emission rates to differing social contexts via two discreet behavioral responses, slowing pulse
101 emissions to aid coordination in small groups and speeding pulse emissions in dense noisy conditions.

102 **MATERIALS AND METHODS**

103 *Animals*

104 These experiments utilized captive wild-caught male and female Mexican free-tailed bats (*Tadarida*
105 *brasiliensis Mexicana*). All husbandry and experimental procedures were in accordance with National
106 Institutes of Health guidelines for experiments involving vertebrate animals and were approved by the
107 local Institutional Animal Care and Use Committee (TAMU animal use protocol #2007-254). The bats

108 were kept in an artificial habitat with a reversed light cycle and temperature varying daily and seasonally
109 to simulate natural condition. Animals were provided a diet of mealworms supplemented with vitamins
110 and minerals and water was available *ad libitum*.

111 *Acoustic Recording and Playback Apparatus*

112 For all experiments bats were placed in a 10 x 10 x 20 cm plastic-coated ¼” steel mesh cage which was
113 then positioned in the center of a 6 x 3 x 1.5 meter room lined with sound-absorbing four-inch acoustic
114 foam. The room was kept dark and the temperature was maintained around 30° Celsius during recording
115 sessions. Experiments were performed during the first four hours after the animals’ subjection sunset
116 (12:00 to 16:00 Zeitgeber time). Vocalizations were recorded with a Brüel & Kjær type 4939 free-field
117 ¼” microphone (Brüel & Kjær, Nærum, Denmark) positioned 10 cm from edge of the cage and oriented
118 towards the center. The bats’ vocalizations were digitized and analyzed using the hardware and software
119 package Datapac 2K2 (RUN Technologies, Mission Viejo, CA). Pulses were automatically discriminated
120 from background by applying a fixed threshold to the waveform envelope. To account for potential under-
121 sampling due to temporal overlap between simultaneously uttered pulses we visually inspected
122 spectrograms and made corrections by hand as necessary.

123 Acoustic stimuli were produced with a Vifa 1” Tweeter (model # BC25SC55-04) powered by a Sony
124 amplifier (model # STR-DE598) which provided a maximum output of $\approx 80\pm 6$ dBs from 15 to 50 kHz.
125 The speaker was mounted 10 cm from and oriented towards the bat’s cage. The microphone and
126 loudspeaker were separated by a piece of sound-absorbing foam adjusted daily to minimize the recorded
127 amplitude of the stimulus relative to the amplitude of the bats’ pulse emissions. The stimuli for these
128 experiments were digitally created with the TDT OpenEX software v5.4 (Tucker-Davis Technologies,
129 Alachua, FL), and the analog signal was generated by TDT System III RX6 hardware (Tucker-Davis
130 Technologies, Alachua, FL).

131 *Experiment 1: Do echolocating bats suppress the pulse emissions of their conspecifics?*

132 Individuals or groups of two to ten naïve bats were recorded echolocating while crawling around the steel
133 mesh cage positioned in the center of the anechoic recording chamber. The mean pulse emission rate per
134 bat was calculated as the total number of pulses detected divided by total duration of the recording and the
135 number of individuals placed in the cage. To determine whether an artificial stimulus altered pulse
136 emission rates solitary bats were presented with artificial downward frequency-modulated sounds
137 mimicking the echolocation pulses of free-tailed bats(Jarvis et al., 2010) at a repetition rate of 5 pulses per
138 second, similar to naturally behaving bats.

139 *Experiment 2: Does mutual suppression lead to reduced incidences of overlapping pulse emissions?*

140 To determine whether the prevalence of overlapping pulse emissions occurred less frequently than
141 predicted based on random chance we compared the real rate of overlaps occurring between two bats with
142 Monte Carlo simulations of pairs of bats echolocating together. Real rate of overlaps was measured by
143 manually counting the numbers of overlapping pulses occurring in randomly selected 10-second time
144 epochs collected from 141 separate recordings of pairs of bats. We defined an overlap event as any
145 instance when a second pulse appeared in the spectrogram within 10 ms of the onset of a previous pulse.
146 Pulse durations typically varied from 4 to 8 ms and the returning echoes perpetuated in the chamber for at
147 least 5 ms beyond the end of the first pulse. Under natural conditions the period over which another bat's
148 emissions might overlap with the time course of a returning echo likely extends well beyond the 10 ms
149 limit used here, but we will show that the results presented here are easily adapted to reflect more liberal
150 time windows to accommodate different species or habitats. Monte Carlo simulations of pairs of bats
151 echolocating together were generated using 100 randomly chosen ten-second epochs of acoustic
152 recordings from isolated naïve bats, which gave 4950 discreet simulated cross-pairings. For each real and
153 simulated epoch we measured the mean pulse rate and number of overlaps occurring within the 10 second
154 epoch and from this determined the probability distribution of overlaps as a function of mean pulse rate. It
155 was not possible to discriminate between the echolocation pulses of real bats recorded in pairs reliably
156 enough to measure each individual bat's pulse emission rate. Finally, based on the assumption that

157 simultaneous emissions always have the potential to create ambiguities in the perception and
158 interpretations of succeeding echoes, we define *pulse efficiency* as the mean proportion of emitted pulses
159 that did not overlap with another bat's emissions and therefore likely produced unambiguous echoes.
160 Pulse efficiency was calculated by subtracting the expected interference rate (overlaps per second) from
161 mean pulse emission rate.

162 *Experiment 3: How do bats respond to the presence of continuous noise?*

163 To measure the behavioral response to continuous noise we measured the effects of a prolonged
164 broadband noise stimulus on pulse emission rates. Preliminary experiments indicated that the bat's pulse
165 emission rates typically declined over the twenty to thirty minute time-course of an experimental session
166 regardless of stimulus type, preventing us from directly comparing extended recordings of bats
167 echolocating in noisy versus silent conditions. Furthermore, individual call rates varied significantly
168 across days, making it difficult to achieve statistically significant results when comparing stimulus
169 conditions across days. Therefore to control for daily fluctuations and the systematic short-term decline in
170 emission rates seen over the course of initial recordings, bats were exposed to a time-varying noise
171 stimulus composed of ten-second blocks of white noise alternated with ten-seconds of silence. An
172 iterative process led us to compromise upon ten-second stimulus epochs because this timeframe was at
173 least two orders of magnitude longer than their typical inter-pulse intervals and yet short enough that there
174 was no detectable time-dependent reduction in mean call rate within each epoch. Preliminary trials with
175 longer epochs of up to 2 minutes produced qualitatively similar results. This stimulus pattern will
176 hereafter be referred to as the "continuous" noise stimulus to distinguish it from the periodic noise-burst
177 stimuli used in experiment 1 and our previous study (Jarvis et al., 2010). For each trial the total number of
178 echolocation pulses uttered was pooled from all experimental (stimulus ON) and silent (stimulus OFF)
179 conditions and both mean emission rate and relative proportion of pulse's uttered was calculated for the
180 noise On and noise Off conditions. To test if the bats responded differently to noise when alone versus in
181 the presence of other bats, experiments were conducted in two separate sessions. In the first session,

182 recordings were carried out with groups of either four or eight bats placed in the same cage and
183 collectively exposed to the continuous noise stimulus. Following this, each bat from the group was
184 isolated and recorded individually while being exposed to the same series of stimuli. Data were
185 normalized as the total percentages of pulses occurring in silence versus noise.

186 *Experiment 4: At what temporal ratio of noise to silence does the noise promote faster emissions?*

187 Six solitary bats were exposed to stimuli of varying duty cycles constructed by alternating a 10 ms burst
188 of broadband noise with silent intervals of variable length. For example 10 ms of noise alternating with a
189 90 ms silent period gave a 10% duty cycle; other silent intervals were 40 ms (20% duty cycle), 10 ms
190 (50% duty cycle), 3.3 ms (a 75% duty cycle) and 1.1 ms (a 90% duty cycle). Each bat was recorded for
191 six twelve-minute exposures to each duty cycle. During these recording sessions, the stimulus was
192 switched on and off every two minutes, allowing the stimulus blocks to be interspersed with blocks of
193 silence. The total number of echolocation pulses uttered was pooled from all six minutes of experimental
194 (stimulus ON) and silent (stimulus OFF) conditions during each session. Different duty-cycle stimuli
195 were presented in pseudorandom order to balance for time and order effects.

196 *Statistical Analysis*

197 All result are expressed as mean \pm standard deviation. Statistical analyses were performed with Sigma
198 Stat v.9.0 (Systat Software, San Jose, CA). For experiment 1 nonparametric t-tests and a Kruskal-Wallis
199 one-way analysis of variance on ranks was used to investigate the effect of population density on average
200 pulse rate, and a least-squares method was used to determine the best curve fit. For experiments 2 and 3, a
201 two-way analysis of variance test was performed to investigate the effects of noise and social conditions
202 on pulse emission rates. For experiment 4, a two-way analysis of variance using Holm-Sidak multiple
203 comparison tests was performed to determine the effects of stimulus condition and duty cycle on emission
204 rates.

205 **RESULTS**

206 *Experiment 1: Do echolocating bats suppress the pulse emissions of their conspecifics*

207 There was a significant reduction in mean emission rates when bats were echolocating in pairs versus
208 when they were alone (Figure 1A, Mann-Whitney test. $T=930$, $n_1=28$, $n_2=57$, $p=0.011$). There was also a
209 significant reduction in pulse emission rates when bats echolocated while the loudspeaker played back an
210 artificial stimulus mimicking the presence another free-tailed bat (Figure 1A; $t=2.045$, $df=35$, $p=0.048$).
211 Figure 1B plots of the significant effects of increasing bat density on the mean pulse emission rates
212 ($H=90.199$, $df=7$, $P < 0.001$). The negative relationship between bat density and mean pulse emission
213 rate was best fit by an inverse first order nonlinear regression ($F_{1,6} = 93.97$, $p < 0.0001$, $R^2 = 0.94$) that
214 decayed towards an asymptote equivalent to approximately 20% of the mean emission rates for naïve
215 solitary bats, or roughly 1 pulse per second.

216

217 *Experiment 2: Does mutual suppression lead to reduced incidences of overlapping pulse emissions?*

218 Comparing real groups of bats to Monte Carlo simulated groups of bats revealed that the bats'
219 echolocation behavior was strongly altered by social context. Real pairs of bats emitted significantly
220 fewer pulses per second than simulated pairs (4.6 ± 2.1 Hz, $n=141$ versus 6.0 ± 3.1 Hz, $n=4950$
221 respectively, $P < 0.0001$) and also emitted overlapping pulses significantly less frequently than simulated
222 pairs (0.29 ± 0.37 Hz versus 0.38 ± 0.38 Hz, $P < 0.0001$). Analyses also revealed that real pairs produced a
223 higher percentage of epochs with no instances of overlap (48%) than simulated pairs (15%) suggesting
224 that real pairs of bats were successfully avoiding overlaps better than expected by chance alone. However
225 this observation could simply be a product of reduced pulse emission rates, since the number of overlaps
226 per second was strongly correlated with mean pulse emission rates per epoch for both real and simulated
227 bats ($R=0.83$, $p < 0.0001$ and $R=0.75$, $p < 0.0001$ respectively). To investigate this we examined whether the
228 reduction in interferences was independent of pulse emission rates. It was hypothesized that if bats
229 actively avoided overlapping with one another's emissions, then the data from real bats should reflect a

230 change in the correlation between interference rates and pulse emission rates. This was found not to be
231 true; although real pairs of bats emitted fewer pulses per second neither the mean overlap rate nor the
232 slope of the correlation varied significantly over the overlapping range of emission rates ($P > 0.05$).
233 Alternatively if the probability of two or more bats' emissions overlapping in time was random, then the
234 interference rate was predicted to follow a simple power function of the form $r\tau^n$, where r is the mean
235 emission rate, τ is the empirically defined overlap window duration (10 ms), and n is the number of bats.
236 Figure 1C plots how frequently real bats echolocating in pairs or triads emitted overlapping pulses
237 (labeled *Interferences*, quantified as overlaps per second) as a function of the mean pulse emission rate.
238 Both data sets were well fit by the function $r\tau^n$ ($r^2 = 0.71$, $F_{(1,140)} = 344.9$, $P < 0.001$), indicating that
239 interferences had occurred randomly and their propensity was predictably based on mean emission rates
240 and population density and that the bats were not timing their pulse emissions to avoid overlaps with one
241 another. Figure 1D extends this function to illustrate how pulse emission rates are predicted to influence
242 interference rates for groups as large as ten bats. The graph demonstrates that bats in modest group sizes
243 of 5 or more are faced with a daunting increase in the probability that their pulse emission will overlap
244 with those of neighboring bats. Figure 1F uses the same functions to estimate pulse efficiency ($1 - r\tau^n$) as a
245 function of pulse emission rate. This provides an estimate of the relative proportion of emitted pulses that
246 would likely return unambiguous echoes over a natural range of pulse emission rates, illustrating that
247 pulse efficiency is expected to decrease steeply with increasing population density and faster emission
248 rates.

249

250 *Experiment 3: How do bats respond to the presence of continuous noise?*

251 When exposed to “continuous” blocks of broadband noise, the bats emitted pulses more frequently while
252 the noise was present than during the intervening silent periods (figure 2A) regardless of whether they
253 were recorded individually or in groups (Two-Way ANOVA, $F_{1,40} = 143.8$, $p < 0.001$). There was also a

254 significant interaction effect between the social and noise conditions ($F_{1,40} = 8.937$, $p = 0.005$) arising
255 because bats called more frequently in noise than silence but less frequently in groups than alone,
256 indicating that these effects were combinatorial and not mutually exclusive. Social condition had no
257 significant effect upon the response to sustained noise stimuli. The mean pulse emission rates were lower
258 for groups versus solitary conditions but increased in noise under both conditions (group rates were $1.5 \pm$
259 0.9 Hz in silence versus 1.8 ± 1.3 Hz in noise; solitary rates 1.8 ± 0.8 Hz versus 2.3 ± 1.0 Hz in noise).
260 Although the general behavior was consistent with previous results the overall range of pulse emission
261 rates during these experiments was less than in earlier experiments because the bats were no longer naïve
262 to the recording chamber and had habituated to the experimental procedure.

263

264 *Experiment 4: At what temporal ratio of noise to silence does the noise promote faster emissions??*

265 The above experiments demonstrate that free-tailed bats respond differently, depending on whether the
266 interfering noise stimulus is continuous or periodic. Specifically, bats emit pulses less frequently in
267 periodically noisy conditions but more frequently in the presence of sustained noise. To better estimate
268 the point at which bats treat a noise as continuous versus periodic, a subset of bats were exposed to a
269 series of noise burst stimuli presented at duty cycles ranging from 5 to 95% and we compared pulse rates
270 during stimulus presentations to the rates obtained during intervening silent periods. Stimulus duty cycle
271 had a significant effect upon pulse emission rates (Two-way ANOVA, $F_{1,70} = 14.888$, $p = <0.001$) with
272 was a statistically significant interaction effect between the noise status (on/off) and stimulus duty cycle
273 ($F_{5,70} = 5.123$, $p = <0.001$). Post-hoc tests determined that while there was no significant difference in
274 pulse rates among the 5%, 10%, and 20% duty cycle conditions, duty cycles at or above 50 % caused a
275 significant increase in pulse emission rates relative to silent conditions (Holm-Sidak method; 50%, $t =$
276 2.652 , $p = 0.05$; 75%, $t = 4.613$, $p = 0.05$; 90% , $t = 3.355$, $p = 0.05$; $F_{5,70} = 8.872$, $p = <0.001$). There was

277 no significant difference in emission rates across duty cycles at or above 50%, indicating that the bats
278 responded similarly to all of these stimuli as if they were continuous noise.

279 **DISCUSSION**

280 Mexican free-tailed bats live in large dense colonies consisting of hundreds to millions of individuals
281 (Simmons et al., 1978; Ratcliffe et al., 2004). They are highly social animals that spend a large part of
282 their time echolocating in close proximity to other echolocating bats. It is assumed that high population
283 densities present significant challenges for an active sonar system, since signal degradation and perceptual
284 ambiguities are expected to arise from interferences derived from other bats' echolocation pulses.
285 Whether or not bats utilize behavioral strategies for mitigating this interference is unknown. We
286 previously reported that free-tailed bats responded to brief noise bursts by postponing the emission of
287 subsequent echolocation pulses (Jarvis et al., 2010). We speculated that this behavior might improve
288 sonar performance in social conditions by encouraging an antiphonal emission strategy among pairs or
289 small groups of bats. The results presented here dismiss that hypothesis, instead demonstrating that the
290 suppression caused by hearing one another's pulses does not lead to temporal coordination of pulse
291 emissions among pairs or triads of bats. Monte Carlo simulations support the conclusion that overlaps
292 occurred randomly and pairs or triads of bats performed no better than chance at avoiding overlap with
293 each other's emissions.

294 It was also hypothesized that the acoustic suppression of pulse emission might lead to the
295 generalized suppression of pulse emissions in groups. This was confirmed. Bats slowed their pulse
296 emission rates in response to hearing either the echolocation pulses of real bats or artificial echolocation
297 pulses. Increasing bat density resulted in greater suppression of emissions, indicating that the suppressive
298 effects were additive in nature. If neighboring bats suppress each other's pulse emissions but this
299 suppression does not promote an antiphonal emission strategy, what then is the benefit of this behavior?

300 Here we propose that lessons learned from modern communications networks may explain how slowing
301 pulse emissions can improve a bat's sonar performance when echolocating within a group.

302 The *ALOHA* system was an inaugural experiment in computer networking designed to link
303 multiple independent users spread across the Hawaiian Islands to a central mainframe computer via a
304 shared UHF radio channel (Abramson, 1970). Signals were randomly transmitted to and from a central
305 computer in time-limited bursts or "packets" of information in a completely unsynchronized manner
306 which led to "collisions" among users transmitting at the same time, causing the loss of both signals.
307 Error detection algorithms were instituted that allowed users to know when their signals had collided, and
308 a simple re-transmission protocol was incorporated independently by users that continually resent signals
309 until a successful transmission occurred. This resulted in an uncoordinated competition for channel time
310 that degraded the overall flow of information for all users. To improve network efficiency ALOHAnet's
311 architects investigated how often collisions occurred and how to best to guide user behavior to optimize
312 information flow through the network while also improving transmission efficiency for each user
313 (Abramson, 1970). Network performance was characterized by its total information *throughput* as a
314 function of overall *traffic load*.

315 Abramson and colleagues showed that as channel traffic increased the rate of collisions among
316 user transmissions increased exponentially and consequently the probability of a successful transmission
317 decreased exponentially (Abramson, 1970). For any single user the immediate probability (p) of a
318 successful transmission was predicted by $p = e^{-2\lambda}$, where λ was a product of the number of users (n),
319 mean transmission rate (r) and signal duration (τ). Channel throughput (S) was used as a measure of how
320 efficiently information is transmitted through a shared communication channel. Maximum possible
321 throughput for any shared channel is achieved only when all user transmissions are perfectly coordinated
322 to utilize 100% of the channel time without any collisions, and is effectively unachievable without
323 comprehensive central coordination. Since a channel's capacity to transmit information can also be
324 underutilized, S is ultimately a function of both channel usage and p , thus $S = \lambda e^{-2\lambda}$, reflecting the

325 compromise between transmission rate and interference rate. Figure 3A illustrates how this function could
326 be applied to a group of bats sharing a common acoustic space, except that in this analogy the acoustic
327 space represents a shared communication channel. All the bats sharing the space are transmitting and
328 receiving their echolocation pulses over the same shared channel, and each bat is likely to lose
329 information when its transmissions collide with another bat's transmissions. For analytical purposes we
330 assume that any overlapping pulse emissions result in the total loss of both transmitted signals, but this
331 may not be entirely true for bats. For free-tailed bats we define r = mean pulse emission rate, τ = overlap
332 window (10 ms), and then $\lambda = n_{\text{bats}} r \tau$. For any given population density greater than 1 it can be shown that
333 there is an optimum mean pulse emission rate where all bats would presumably benefit from increased
334 pulse efficiency, deriving the most information possible from their echolocation pulse stream with the
335 least amount of wasted emissions. Increasing pulse emission rates beyond this optimum rate rapidly
336 degrades information throughput of the common airspace because the relative proportion of pulses
337 generating unambiguous echoes steeply declines for all individuals.

338 The random-access nature of a "pure ALOHA" network such as the one described above was
339 found to constrain network throughput to a maximum value of $0.5/e$, or roughly 18.4% of the theoretical
340 maximum achievable capacity (Abramson, 1970; Kleinrock and Tobagi, 1975). Since interferences
341 automatically trigger re-transmissions, such random-access networks are inherently unstable due to a
342 positive feedback loop wherein retransmissions lead to a progressively increasing traffic load and
343 consequently more frequent collisions or interferences. For bats, this means that if all the animals in the
344 group increased pulse emission rates to compensate for lost information due to mutual interference, as
345 might be expected based on their known response to cluttered acoustic environments (Petrites et al.,
346 2009), then their net sonar performance would decline rather than improve. Instead, to maintain even
347 modest throughput efficiency bats would be better off reducing emission rates as n increased, else the
348 number of pulses generating unambiguous echoes would rapidly diminish. To combat this phenomenon in
349 ALOHAnet, regulatory protocols were applied to constrain when and how often users retransmitted their

350 data. One of these, known as the “carrier sense multiple access” protocol (CSMA) is relevant to bats
351 because CSMA incorporated a “listen-before-send” algorithm, in which transmitters first checked to see if
352 the channel is free before transmitting, and if not briefly postpone transmissions. This greatly reduced
353 traffic load by reducing the number of collisions and retransmissions, and thereby increased network
354 utilization and information flow for all users. We now hypothesize that acoustic suppression of pulse
355 emission exhibited by free-tailed bats serves a function similar to CSMA in wireless communication
356 networks, effectively improving sonar performance in social settings by optimizing pulse emission rates
357 relative to population density.

358 The optimum range of pulse emission rates predicted by figure 3A is significantly higher than the
359 emission rates we observed for similarly sized groups of bats (Fig. 1B). This may be accounted for by
360 differences in the predicted and actual overlap window durations. We used a conservative estimate of 10
361 ms in our analyses, however our previous studies indicate that hearing another bat’s echolocation pulses
362 can suppress echolocation pulses for up to 80 ms, suggesting that the effective overlap window is
363 somewhere closer to 80 ms. The actual time window over which returning echoes may be subject to
364 interference should vary predictably with habitat and target distances, but it is possible that in free-tailed
365 bats the general behavior is tuned to a specific range, represented by an echo delay of 80 ms. When we
366 recalculated information throughput values using an 80 ms value for τ (Fig. 3B) we found optimum pulse
367 emission rates more closely aligned with the empirically obtained emission rates for groups of different
368 sizes. This supports the hypothesis that free-tailed bats are reducing their pulse emissions to optimize
369 information throughput of their shared acoustic channel.

370 Importantly, pulse emissions were never entirely suppressed. At group sizes of five or more the
371 emission rates approached an asymptotic minimum of approximately 1 Hz, equivalent to about 20% of
372 the average pulse rate of solitary bats under identical conditions. This indicates that pulse emissions
373 would never be entirely suppressed by the echolocation pulses of their neighbors regardless of population
374 density. In fact, in contrast to the suppression caused by brief periodic noise bursts, we found that

375 sustained broadband noise increased pulse emission rates. This effect was evident regardless of whether
376 bats were alone or echolocating in groups. Pulse emission rates only increased significantly at stimulus
377 duty cycles greater than or equal to 50%, leading us to conclude that once the noise occupies more than
378 half the available time window they behaved as though the noise was essentially continuous. This is
379 consistent with the idea that once the probability that an emitted pulse will overlap with noise exceeds
380 50%, the bats behave as though every echo may be compromised by noise. Emitting more pulses per
381 second when echolocating in a constantly noisy environment might increase the probability of
382 sporadically producing unambiguous echoes and may improve echo perception via cognitive mechanisms
383 that allow for integration of auditory cues over many sequential echoes, thereby building a more accurate
384 perceptual map of the auditory scene from bits and pieces of many incomplete or distorted echoes (Moss
385 and Surlykke, 2001; Moss et al., 2006).

386

387 **CONCLUSION**

388 Solitary bats normally resolve ambiguities in their auditory scene analyses by speeding up their
389 pulse emission rates (Moss et al., 2006; Petrites et al., 2009). Here we propose the counterintuitive
390 hypothesis that echolocating bats cooperatively optimize sonar performance at the group level by *slowing*
391 their pulse emission rates proportional to population density, mirroring protocols developed to optimize
392 information throughput in artificial communications networks (Abramson, 1970). Conspecific bats
393 sharing the same acoustic space must transmit and receive their sonar emissions over a single shared
394 communication channel and therefore face many of the same challenges that constrain wireless
395 communications networks. In artificial systems channel capacity is optimized by regulating the
396 transmission behaviors of users via a common set of rules and constraints that ultimately improves
397 efficiency for all users (Tanenbaum, 2003). Likewise, echolocating bats may have evolved a
398 transmission-delay algorithm similar to those used in communications networks to optimize sonar

399 performance in social contexts. Since these experiments were done with stationary bats, it remains to be
400 seen whether flying free-tailed bats performing challenging sonar-guided navigational tasks also display
401 this behavior, though there is evidence from the field and the lab showing that other species of bats
402 increase inter-pulse intervals in the presence of other bats (Obrist, 1995;Chiu et al., 2008). During flight
403 pulse emissions are significantly constrained by additional mechanical and physiological factors not
404 present when stationary. From a theoretical standpoint however, flying bats should have as much if not
405 more to gain as stationary bats from exploiting this strategy. The principle that sometimes less is more
406 may prove to be an important clue towards understanding how bats echolocate together in large groups.

407

408 **Figure Legends**

409 **Figure 1.** The effect of group size on pulse emission rates. (A) Bats' mean pulse emission rates recorded
410 alone versus when echolocating in pairs, and then again for alone versus while echolocating with a
411 speaker simulating the presence of another bat echolocating (playback). (B) Average emission rates per
412 bat plotted versus the total number of bats in the group. Pairwise multiple comparisons indicated that
413 mean pulse emission rates for groups of three or more bats were significantly lower than solitary bat
414 emission rates ($Q=5.033$, $p<0.05$). Data were fit with a first order linear regression (solid line, $y =$
415 $0.92 + \frac{3.82}{x}$). (C) Plot of mean pulse rates versus the rate at which overlaps occurred (interferences) for
416 pairs ($n=141$) and triads ($n=56$) of bats. Both sets of data were well fit by the same simple power function
417 of the form $y=r\tau^n$, where r = mean emission rate (Hz), τ = overlap window duration (ms) and n = number
418 of bats. ($r^2=0.71$, $F_{(1,140)}=344.9$, $P<0.001$). Extending the functions derived from C, D illustrates the
419 expected effect of pulse emission rates on mutual interference rates for groups of 2, 3, 5 and 10 bats. (E)
420 These functions were then used to predict the effect of pulse emission rates on the proportion of pulses
421 expected to generate unambiguous echoes, or $y=1-r\tau^n$ (pulse efficiency) for different group sizes.

422 **Figure 2.** The effect of continuous noise stimuli on pulse emission rates. (A) Bats emitted pulses more
423 frequently in the presence of continuous background noise than during intervening silent periods. The
424 effect was similar whether recording from individuals or groups of bats. (B) The effect of stimulus duty
425 cycle on the mean pulse emission rates of solitary bats. Error bars indicate standard deviation; asterisks
426 indicate statistically significant differences from intervening silent periods ($P<0.01$).

427 **Figure 3.** Interactive effects of population density and emission rates on theoretical information
428 throughput (S) of a shared acoustic communication channel following the function $S=\lambda e^{-2\lambda}$, where
429 $\lambda=r\tau n_{\text{bats}}$. A calculates information throughput assuming a conservative overlap window (τ) of 10 ms
430 while B assumes an empirically-determined overlap window of 80 ms. In both graphs throughput is
431 maximized at progressively slower emission rates as group size increases: In B the peak S is achieved at

432 an optimum emission rate 3.25 Hz/bat for pairs of bats, 2.0 Hz/bat for triads, 1.25 Hz/bat for groups of
433 five, and 1 Hz/bat for groups of ten.

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517

Figure 1.TIF

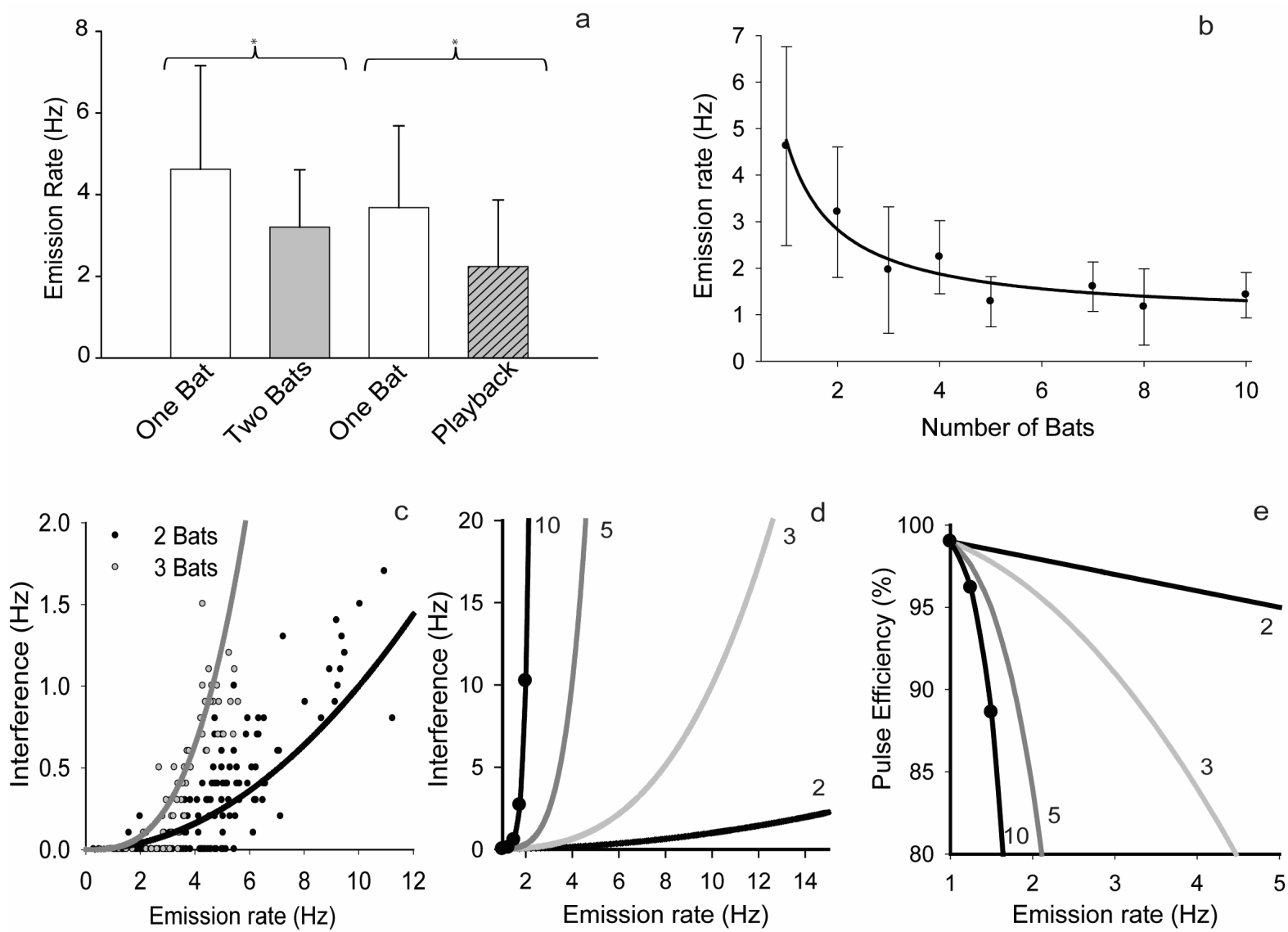


Figure 2.TIF

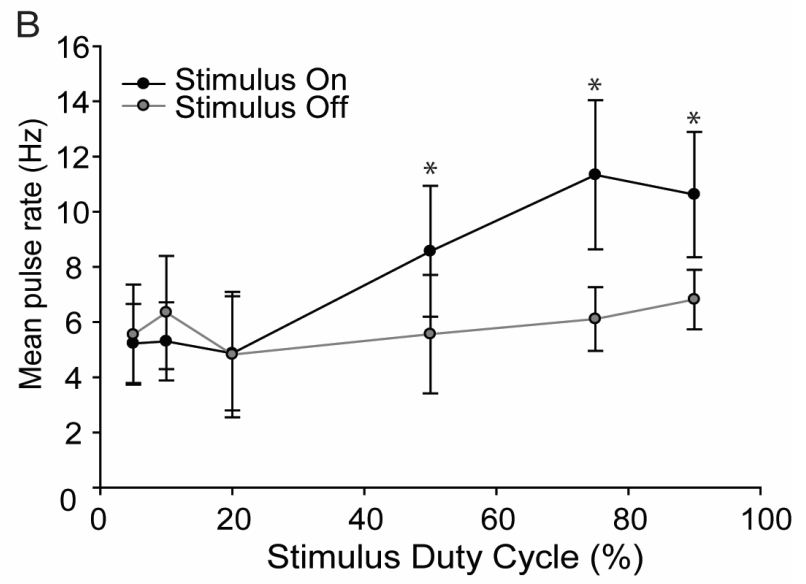
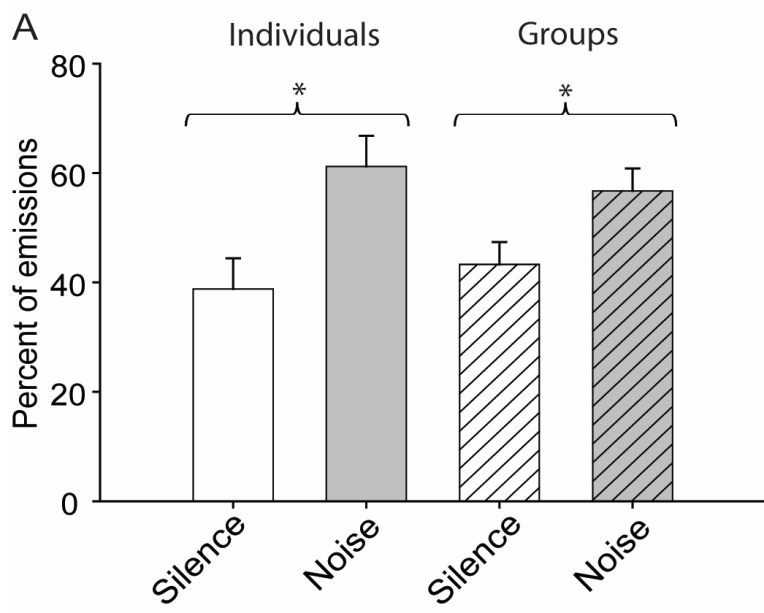


Figure 3.TIF

